

No collaboration permitted on the final exam. You may freely use the literature, but with diligent referencing. Do not include rough notes or programming efforts; give only your final logical development in legible handwriting. Presentation will be a primary factor in grading.

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1. This problem is about the Bogoliubov transformation. A common tool in studying many-body quantum systems is the operator transform. Suppose the particle creation and annihilation operators  $a_i^\dagger$  and  $a_i$  can be algebraically expressed in terms of a new set of operators  $b_i^\dagger$  and  $b_i$  that obey the same canonical commutation relations:

$$[b_i, b_j] = [b_i^\dagger, b_j^\dagger] = 0 \quad [b_i, b_j^\dagger] = \delta_{ij} . \quad (1)$$

The operators  $b_i^\dagger$  and  $b_i$  are often said to create/annihilate quasiparticles. The commutation relations, Eq. (1), imply that there is a unique state  $|B\rangle$  that is annihilated by all  $b_i$ ; this state is usually referred to as the quasiparticle vacuum, the states of the form  $b_i^\dagger |B\rangle$  are the one-quasiparticle states, *etc.* Whenever the quasiparticles can be labeled by the same quantum numbers (e.g.  $\vec{k}$ ) as the original bosonic particles of the theory, it is often convenient to make a unitary operator transform:

$$b_i = U a_i U^\dagger, \quad b_i^\dagger = U a_i^\dagger U^\dagger , \quad (2)$$

where  $U$  is a unitary operator in the Fock space, usually of the form  $\exp(X)$  for some anti-hermitian polynomial  $X$  in  $a_i$  and  $a_i^\dagger$ .

- (a) Show that the unitarity of  $U$  automatically guarantees that  $b_n$  and  $b_n^\dagger$  satisfy Eq. (1), and that the quasiparticle state  $|B\rangle = U |0\rangle$  is the quasiparticle vacuum.  
 (b) Verify that for  $X = \sum_n (c_n a_n^\dagger - c_n^* a_n)$ ,  $\exp(X) a_n \exp(-X) = a_n - c_n$ . This transform is a c-number shift.  
 (c) Now let  $X = \sum_n \frac{1}{2} \eta_n (e^{i\lambda_n} (a_n^\dagger)^2 - e^{-i\lambda_n} (a_n)^2)$  ( $\eta_n$  and  $\lambda_n$  are real). Show that for this  $U = \exp(X)$ , Eqs. (2) define a diagonal canonical transform:

$$b_i = a_i \cosh \eta_i - e^{i\lambda_i} a_i^\dagger \sinh \eta_i, \quad b_i^\dagger = \cosh \eta_i a_i^\dagger - e^{-i\lambda_i} \sinh \eta_i a_i . \quad (3)$$

- (d) In order to see the utility of the Bogoliubov transformation, consider the simple case of one creation/annihilation operator pair with  $\lambda = \pi$ . We then have

$$b = a \cosh \eta + a^\dagger \sinh \eta . \quad (4)$$

Use this transformation to obtain the eigenvalues of the following Hamiltonian:

$$H = \hbar\omega a^\dagger a + \frac{1}{2} V (aa + a^\dagger a^\dagger) . \quad (5)$$

Also give the upper limit on  $V$  for which this can be done.

- (e) Write down the ground state of the Hamiltonian above in terms of the number states  $a^\dagger a |n\rangle = n |n\rangle$ .

2. This problem is about Pauli's method of solving the hydrogen atom. For all spherically-symmetric potentials, discrete spectra of bound state energies have  $(2l+1)$ -fold degeneracy mandated by the  $SO(3)$  symmetry — all states  $|l, m, n_r\rangle$  with the same  $l$  and  $n_r$  but different  $m$  have the same energy  $E(l, n_r)$ . For most potentials, there is no further degeneracy — different combinations of  $l$  and  $n_r$  give different energies. However, there are two “accidentally degenerate” exceptions to that rule: the spherically-symmetric harmonic oscillator potential  $\hat{V} = \frac{1}{2}M\omega^2\hat{r}^2$ , and the Coulomb potential  $\hat{V} = -e^2Z/\hat{r}$ . In both cases the extra degeneracy is due to non-obvious conservation laws leading to unexpected enlargement of the symmetry group from the rotations-only  $SO(3)$  to  $SU(3)$  in the harmonic case and to  $SO(3) \times SO(3)$  in the Coulomb case. (We saw this in problem 1 of HW 3 for the case of the two-dimensional harmonic oscillator where  $SO(2)$  is enlarged to  $SU(2) \sim SO(3)$ .)

The unexpected conservation law in the Coulomb case is the Laplace-Runge-Lenz theorem generalized from classical to quantum mechanics. Classically, we define the Runge-Lenz vector  $\mathbf{K}$  as

$$\mathbf{K} \equiv \mathbf{p} \times \mathbf{L} - e^2 Z M \mathbf{n}_r \quad (6)$$

where  $M$  is the particle's mass,  $\mathbf{L} \equiv \mathbf{r} \times \mathbf{p}$  is its angular momentum and  $\mathbf{n}_r \equiv \mathbf{x}/r$  is a unit vector pointing towards the particle. The Laplace-Runge-Lenz theorem states that for the Coulomb (Newton) potential,  $\mathbf{K}$  is a conserved quantity, *i.e.*, does not change with time.

- (a) Prove the classical Laplace-Runge-Lenz theorem.

The definition, Eq. (6) implies that  $\mathbf{x} \cdot \mathbf{K} = \mathbf{L}^2 - e^2 Z M r$  and hence  $r = \mathbf{L}^2 / (|\mathbf{K}| \cos \phi + e^2 Z M)$  where  $\phi$  is the angle between  $\mathbf{K}$  and  $\mathbf{x}$ . Therefore, constancy of the Runge-Lenz vector implies that the classical orbits are conical sections of eccentricity  $\epsilon = |\mathbf{K}| / e^2 Z M$ ; for  $\epsilon < 1$  the orbit is a closed ellipse whose pericenter lies in the direction pointed to by  $\mathbf{K}$ .

In quantum mechanics we define the Runge-Lenz vector operator

$$\hat{\mathbf{K}} \equiv \frac{1}{2}(\hat{\mathbf{p}} \times \hat{\mathbf{L}} - \hat{\mathbf{L}} \times \hat{\mathbf{p}}) - e^2 Z M \hat{\mathbf{x}} \hat{r}^{-1} . \quad (7)$$

- (b) Verify that each of the component operators  $\hat{K}_i$  is hermitian and is conserved, *i.e.* commutes with the Hamiltonian

$$\hat{H} = \frac{1}{2M} \hat{\mathbf{p}}^2 - e^2 Z \hat{r}^{-1} . \quad (8)$$

To find out the Lie algebra generated by the conserved operators  $\hat{L}_i$  and  $\hat{K}_i$ , we need their commutation relations. We know that  $[\hat{L}_i, \hat{L}_j] = i\hbar \epsilon_{ijk} \hat{L}_k$ .

- (c) Show that

$$[\hat{K}_i, \hat{L}_j] = i\hbar \epsilon_{ijk} \hat{K}_k \quad [\hat{K}_i, \hat{K}_j] = -2M\hat{H} \cdot i\hbar \epsilon_{ijk} \hat{L}_k . \quad (9)$$

Now consider the subspace of the Hilbert space spanned by the bound states of the Hamiltonian. On this subspace let us define two vector operators  $\hat{\mathbf{Q}}_+$  and  $\hat{\mathbf{Q}}_-$ :

$$\hat{\mathbf{Q}}_{\pm} \equiv \frac{\hat{\mathbf{L}}}{2} \pm \frac{\hat{\mathbf{K}}}{\sqrt{-8M\hat{H}}} . \quad (10)$$

- (d) Show that the six operators  $\hat{Q}_\pm^i$  are hermitian, conserved and obey the  $SO(3) \times SO(3)$  commutation relations:

$$[\hat{Q}_+^i, \hat{Q}_+^j] = i\hbar\epsilon^{ijk}\hat{Q}_+^k, \quad [\hat{Q}_-^i, \hat{Q}_-^j] = i\hbar\epsilon^{ijk}\hat{Q}_-^k, \quad [\hat{Q}_+^i, \hat{Q}_-^j] = 0. \quad (11)$$

This  $SO(3) \times SO(3)$  Lie algebra can be used to describe all bound states as  $|q_+, m_+, q_-, m_-\rangle$  — simultaneous eigenstates of the  $\hat{\mathbf{Q}}_\pm^2$  and  $\hat{Q}_\pm^z$  operators. However, this description is somewhat redundant:

- (e) Verify that  $\hat{\mathbf{K}} \cdot \hat{\mathbf{L}} = \hat{\mathbf{L}} \cdot \hat{\mathbf{K}} = 0$  and use this fact to show that all bound states have  $\hat{\mathbf{Q}}_+^2 = \hat{\mathbf{Q}}_-^2$  and hence  $q_+ = q_-$ .

Therefore we can label the bound states of the Coulomb potential as  $|q, m_+, m_-\rangle$ ; their energies depend only on  $q$  and thus are  $(2q+1)^2$ -fold degenerate. To compute these energies:

- (f) First, show that

$$\hat{\mathbf{K}}^2 = (e^2 Z M)^2 + 2M\hat{H}(\hat{\mathbf{L}}^2 + \hbar^2) \quad (12)$$

(in classical mechanics,  $\mathbf{K}^2 = (e^2 Z M)^2 + 2ME\mathbf{L}^2$ .)

- (g) Second, use Eqs. (10) and (12) to derive

$$2\hat{\mathbf{Q}}_+^2 + 2\hat{\mathbf{Q}}_-^2 + \hbar^2 = \frac{(e^2 Z M)^2}{-2M\hat{H}}. \quad (13)$$

- (h) And, finally, use Eqs. (13) to show that the energy of the  $|q, m_+, m_-\rangle$  bound state is

$$E_N = -\frac{M(e^2 Z)^2}{2\hbar^2(2q+1)^2} \equiv -\frac{M(e^2 Z)^2}{2\hbar^2 N^2} \quad (14)$$

where  $N \equiv 2q+1$  is a positive integer, usually called the *principal quantum number* of the bound state.

- (i) Show that for each value of the principal quantum number  $N$ , the orbital quantum number  $l$  takes all integer values between zero and  $N-1$ .

(Hint: Use  $\hat{\mathbf{L}} = \hat{\mathbf{Q}}_+ + \hat{\mathbf{Q}}_-$ .)

Also, argue that this means that in terms of  $l$  and the radial quantum number  $n_r$ ,  $N = l + n_r + 1$ , which implies that the spectrum of  $N$  consists of *all* positive integers.

3. This problem is about time-dependent perturbation theory and its relation with time-independent perturbation theory.

- (a) When the potential  $V$  is time-independent, work out  $\langle s | \tilde{T}(t, 0) | s \rangle$  to second order and identify  $\Delta^{(1)}$ ,  $\Delta^{(2)}$  and the “wave-function renormalization”  $Z_i$  in the expansion of

$$\begin{aligned} \langle s | \tilde{T}(t, 0) | s \rangle &= Z_i e^{-i\Delta E t / \hbar} + \text{rapidly oscillating terms} \\ &= Z_i - \frac{i}{\hbar} (\Delta_i^{(1)} + \Delta_i^{(2)}) t + \frac{1}{2!} \left( -\frac{i}{\hbar} \Delta_i^{(1)} t \right)^2 + \mathcal{O}(V^3) \end{aligned} \quad (15)$$

and show that they agree with the results from time-independent perturbation theory, Eqs. (5.1.42), (5.1.44) and (5.1.48b) in Sakurai. Note that this identification is done in the  $t \rightarrow \infty$  limit where rapidly oscillating terms are dropped. Explain why this identification works.

- (b) Now consider a harmonic perturbation  $V = V_0 \cos \omega t$ . Work out the second-order energy shift. Does your expression recover the result from time-independent perturbation theory in the limit  $\omega \rightarrow 0$ ? Explain your answer.

4. This problem is about scattering in one dimension. The Lippmann-Schwinger formalism can be applied to a one-dimensional transmission-reflection problem with a finite range potential,  $V(x) \neq 0$  for  $0 < |x| < a$  only.

- (a) Suppose that we have an incident wave coming from the left:  $\langle x | \phi \rangle = e^{ikx} / \sqrt{2\pi}$ . How must we handle the singular  $1/(E - H_0)$  operator if we are to have a transmitted wave only for  $x > a$  and a reflected wave and the original wave for  $x < -a$ ? Is the  $E \rightarrow E + i\epsilon$  prescription still correct? Obtain an expression for the appropriate Green’s function and write an integral equation for  $\langle x | \psi^{(+)} \rangle$ .
- (b) Consider the special case of an attractive  $\delta$ -function potential

$$V = - \left( \frac{\gamma \hbar^2}{2m} \right) \delta(x), \quad (\gamma > 0) . \quad (16)$$

Solve the integral equation to obtain the transmission and reflection amplitudes.

- (c) The one-dimensional  $\delta$ -function potential with  $\gamma > 0$  admits one and only one bound state for any value of  $\gamma$ . Show that the transmission and reflection amplitudes you computed have bound-state poles at the expected positions when  $k$  is regarded as a complex variable.